

BNSSG CCG Governing Body Meeting

Date: Tuesday 4th August 2020

Time: 1.30pm

Location: Virtual meeting. Details within the calendar invite

Agenda Number :	9.1
Title:	Governing Body Assurance Framework (GBAF) Update
Purpose:	
Key Points for Discussion:	to note the delayed publication of planning guidance
Recommendations:	The Governing Body is asked to note the extension to the review of the 2020/21 objectives and the identification of risks and other actions for the Executive agreed at the July Closed Governing Body meeting
Previously Considered By and feedback :	The objectives were reviewed and discussed at the June Governing Body seminar and the July Closed Governing Body meeting and shared with the Strategic Development Forum
Management of Declared Interest:	The Governing Body receives a register of its members declared interests as a standing item. There are no declared risks relating the GBAF and its development; where a potential conflict arises the CCG policy for the management of conflicts of interest will be followed and the most appropriate course of action followed.
Risk and Assurance:	The GBAF is a tool for reporting the risks to the achievement of strategic objectives, controls, sources of assurance, gaps in controls or assurances and mitigating actions.
Financial / Resource Implications:	The enduring strategic objectives include a responsibility to deliver financial sustainability for the CCG and the wider system. This reflects the CCG's legal duties to act affectively, efficiently and economically and ensure that expenditure does not exceed the CCG's financial allocation.
Legal, Policy and Regulatory Requirements:	The enduring strategic objectives are based on a range of statutory duties relating to the functions of a CCG.
How does this reduce Health Inequalities:	The CCG has a legal duty to reduce health inequalities. The enduring strategic include reducing the impact of health inequalities on people's health and wellbeing. The core objectives for 2020/21

	will include actions that contribute to the ongoing work to reduce health inequalities. The GBAF will include risks to achieving these core objectives, the controls and sources of assurance available and actions taken where there are gaps to controls and assurances.
How does this impact on Equality & diversity	The CCG has a legal duty to reduce inequalities. The enduring strategic objectives include improving the health and wellbeing of all our population and ensuring the CCG is a place where our people can bring their best selves and deliver their best work. The core objectives for 2020/21 will include actions that contribute to the ongoing work to reduce inequalities. The GBAF will include risks to achieving these core objectives, the controls and sources of assurance available and actions taken where there are gaps to controls and assurances.
Patient and Public Involvement:	The CCG has a legal duty to secure public involvement in the planning, development and consideration of proposals for changes and decisions affecting commissioning arrangements. The enduring strategic objectives reflect this duty with a commitment to embed patient and public involvement across the system and in every programme of transformation. The core objectives for 2020/21 will include actions that contribute to the ongoing work to reduce inequalities. Risks to achieving these core objectives, the controls and sources of assurance available and actions taken where there are gaps to controls and assurances will be reported on the GBAF.
Communications and Engagement:	The strategic objectives and the review and redevelopment of the 2020/21 objectives has been shared with the CCG Strategic Development Forum.
Author(s):	Sarah Carr, Corporate Secretary
Sponsoring Director / Clinical Lead / Lay Member:	Sarah Truelove, Chief Financial Officer

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Report title: Governing Body Assurance Framework (GBAF)

1. Background

The Governing Body Assurance Framework (GBAF) identifies where there are risks to the CCG's principal objectives, the controls in place to mitigate those risks and the assurances available to the Governing Body that risks are managed. The GBAF indicates where there are potential gaps in controls and assurances and provides a summary of the actions in place to resolve these gaps. It is best practice that risks reported on the GBAF and the Corporate Risk Register (CRR) can be mapped back to an organisation's principal objectives. The GBAF is reviewed by the Quality Committee, Commissioning Executive, Audit, Governance and Risk Committee, Strategic Finance Committee and the Governing Body.

2. Principal Objectives

The Governing Body discussed the underpinning elements of the strategic objectives at the June seminar session and July closed Governing Body meeting. The Governing Body looked at the core statutory duties relating to the functions of a CCG, the objectives for 2020/21 developed in 2019 and the longer-term system goals:

CCG objectives 2020/21 (July 2019)

- Place Population Health Management at the core of our system
- Ensure resilient, thriving Primary Care at the heart of an integrated health and social care system
- Build Integrated Care Partnerships at Locality Level with our partners
- Delivering same day, community based urgent care 24 hours/7 days a week
- Improve mental health and wellbeing through providing safe and sustainable services, preventing mental ill-health and promoting recovery and enabling communities to thrive
- Ensure equal access to services for people with learning disabilities and people with autism
- Lead and support the development of a thriving Integrated Care System
- Sustainable resourcing

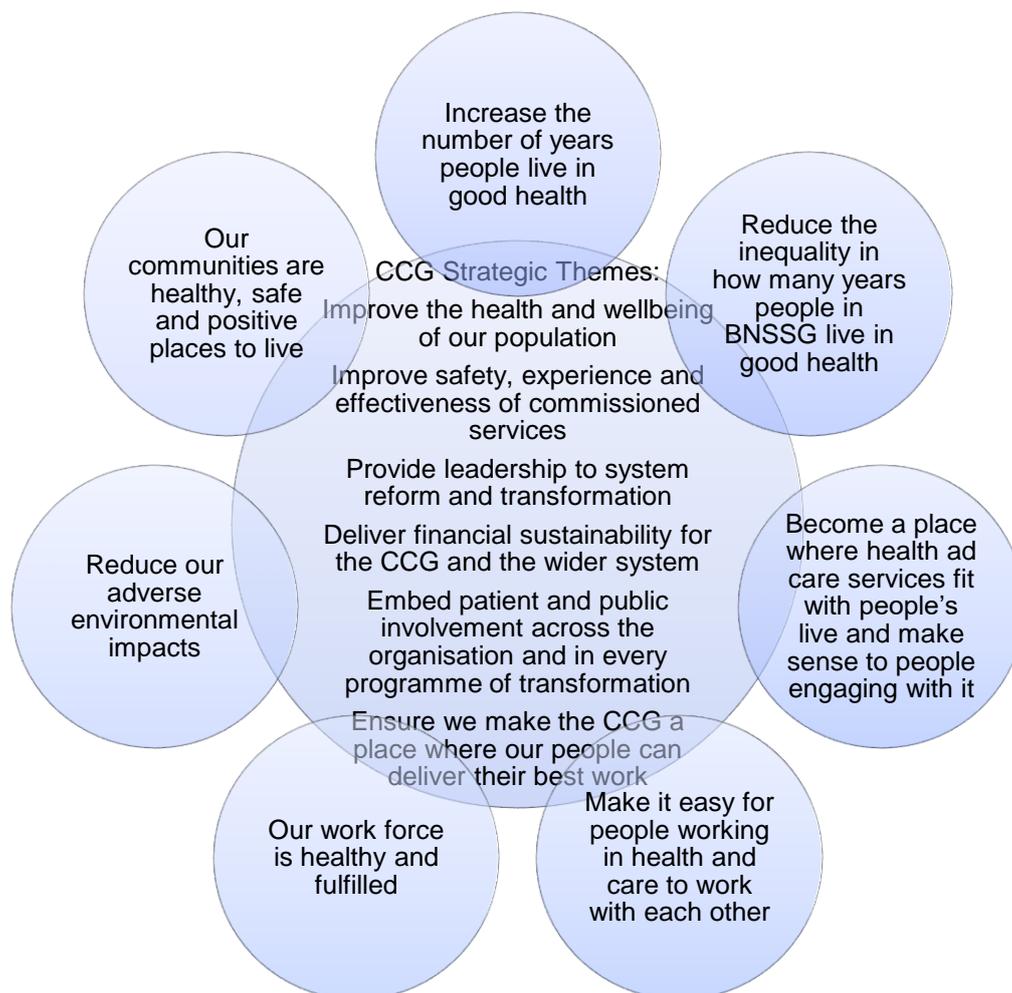
Healthier Together Longer Term Goals

- Increase the number of years people live in good health
- Reduce the inequality in how many years people in BNSSG live in good health
- Become a place where health and care services fit with people's lives and make sense to people engaging with it
- Make it easy for people working in health and care to work with each other
- Our communities are healthy, safe and positive places to live
- Reduce our adverse environmental impacts
- Our work force is healthy and fulfilled

The outcome of these discussions was the agreement of enduring, long-term strategic objectives for the CCG focused on the CCG's core purpose and specific responsibilities and duties:

- Improve the health and wellbeing of all our population
- Reduce the impact of health inequalities on people's health and wellbeing
- Improve safety, experience and effectiveness of commissioned services
- Provide leadership to quality improvement, system reform and transformation
- Deliver financial sustainability for the CCG and the wider system
- Embed patient and public involvement across the system and in every programme of transformation
- Ensure we make the CCG a place where our people can bring their best selves and deliver their best work

The system wide long-term goals align with these enduring strategic objectives.



3. Next steps

The Governing Body acknowledged the potential impact of the Covid-19 pandemic on both the system wide and CCG objectives and asked the Executive Team to review the CCG 2020/21 objectives and refine these to specific key activities that would contribute to the agreed strategic objectives. This

review would be informed by national planning guidance. The Executive was tasked to describe the risks to these key activities and identify:

- Risk scores and target risk scores
- Rationales for risk scores
- Controls
- Sources of assurance
- Gaps in either controls or assurances
- Mitigations with timescales

The intention was to return the GBAF to the August Governing Body meeting. The publication of national planning guidance has been delayed and work to robustly describe the activities underpinning the Strategic Objectives and the risks has been extended to take the delay into account. The Executive is engaged with the review and refinement of more specific objectives for 2020/21. The enduring strategic objectives and the review of specific 2020/21 objectives in the light of the covid-19 pandemic has been shared with the CCG Strategic Development Forum. The GBAF will return to the Governing Body in September 2020.